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11048 U.S. PTO

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**TRANSMITTAL
VIA EXPRESS MAIL NO.:**

EL 930235097US

To The Honorable Commissioner
of Patents and Trademarks
Box Patent Application
Washington, D.C. 20231

10/000062
11/02/01
JC558 US PTO

Re: New U.S. Utility Patent Application of: ISHAK, Andrew for his
“WATERMAN’S SUNGLASS LENS”; (*from Provisional Patent
Application 60/245,304; Filed: November 3, 2000 and from
Provisional Patent Application 60/266,497; Filed: February 5, 2001*);
Filed: herewith (November 2, 2001); Serial No.: to be assigned.

TECHNOLOGY
LAW
BUSINESS
TECHNOLOGY

Dear Sir:

Transmitted herewith for filing is the above-referenced utility patent application. Enclosed are:

1. New patent application; 42 pages total of specification including 12 pages of drawing.
2. One (1) Verified Statement to establish small entity status - 37 CFR 1.9 and 37 CFR 1.27. (Ind. Inventor).
3. One Declaration & Power of Attorney signed by inventor.
4. Our post card. Please date stamp and return.
5. Our check No. 2847 in the amount of \$676.00 (small entity) to cover filing as calculated below:

(Col. 1) (Col. 2)

SMALL
ENTITY

LARGE
ENTITY

FOR:	NO. FILED	NO. EXTRA	RATE	Fee	OR	RATE	Fee	TOTAL Fee
BASIC FEE				\$ 370.00			\$ 710.00	\$ 370.00
TOTAL CLAIMS	40 - 20 =		20 x 9 =	\$		x 18 =	\$	\$ 180.00
INDEP CLAIMS	6 - 3 =		3 x 42 =	\$		x 80 =	\$	\$ 126.00
MULT. DEPENDENT CLAIM PRESENTED			+ 135 =	\$		+270+	\$	\$
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.				TOTAL	\$	OR	\$	\$ 676.00

ISHAK-PA-1

Title: WATERMAN'S SUNGLASS LENS

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Please charge any unanticipated fees to our Deposit Account No. 03-3565 (a duplicate copy of this charge authorization is attached.)

Date

November 2, 2001

Respectfully submitted,

Royal W. Craig
Attorney for Applicant
Reg. No. 34,145

I HEREBY CERTIFY that on November 2, 2001, one copy of the above-referenced documents were deposited with the United States Postal Service for delivery by Express Mail to the United States Patent and Trademark Office.

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Applicant or Patentee: ISHAK, Andrew Attorney's Docket No: ISHAK-PA-1

Serial or Patent No.: To be assigned

Filed or Issued: November 2, 2001

For: WATERMAN'S SUNGLASS LENS

STATEMENT CLAIMING SMALL ENTITY STATUS

(37 CFR §1.27(a) and 1.27(c)(1)) - INDEPENDENT INVENTOR

The below named inventor qualifies as an independent inventor as defined in 37 CFR §1.27(a) for purposes of paying reduced fees under sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled WATERMAN'S SUNGLASS LENS described in

the specification filed November 2, 2001

provisional application serial no. _____, [] patent no. _____, [] issued [] filed

The below named inventor has not assigned, granted, conveyed or licensed and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR §1.27(a)(1) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR §1.27(a)(2) or a nonprofit organization under 37 CFR §1.27(a)(3).

Each person, concern or organization to which the below named inventor has assigned, granted, conveyed, or licensed or is under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

no such person, concern, or organization

persons, concerns, or organizations listed below

FULL NAME: Andrew Ishak

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INDIVIDUAL SMALL BUSINESS CONCERN NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, new determination of entitlement to small entity status, or notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.27(g)(1)&(2)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made shall be considered as a fraud practiced or attempted on the Office (37 CFR §1.27(h)(1)&(2)), and are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.



Royal W. Craig
(Attorney for Applicant)
Reg. No. 34,145

Date November 2, 2001